

September 21, 2023



Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, DC 20210

www.aspenfcu.com  
(605)342-7776

2520233250008

RE: Notice of Executive Compensation Agreement

Dear Sir/Madam:

Aspen Federal Credit Union is providing the following information in compliance with the requirements for the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans for a select group of management or highly compensated employee, as specified in Department of Labor Regulations, 29 C.F.R. §2520.104-23:

Name and Address of Employer: Aspen Federal Credit Union  
4956 5<sup>th</sup> Street  
Rapid City, SD 57701

Employer's Identification Number: 46-0323787

Unfunded Executive Compensation  
Plans Maintained by Employer  
Covered by this Statement. 1  
Employees Initially in the Plan. 1

Aspen Federal Credit Union maintains the Plan primarily for the purpose of providing supplemental benefits and compensation for a person who is a management and/or highly compensated employee. A copy of the Plan will be provided to the Secretary of Labor upon request.

Sincerely,  
Aspen Federal Credit Union  
  
By: Pamela L. Brown-Graff, President/CEO



4956 5<sup>th</sup> Street  
Rapid City, SD 57701

XXAUTO RETURN SERVICE REQUESTED

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