



October 6, 2022

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, DC 20210

2520223530006

RE: Notice of Executive Compensation Agreements

Dear Sir/Madam:

Universal 1 Credit Union is providing the following information in compliance with the requirements for the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans for a select group of management or highly compensated employees, as specified in Department of Labor Regulations, 29 C.F.R. §2520.104-23:

Name and Address of Employer: Universal 1 Credit Union  
One River Park Drive Dayton,  
OH 45409

Employer's Identification Number: 31-0387145

Unfunded Executive Compensation Plans Maintained by Employer covered by this Statement.	3
Employees initially in the Plans.	3

Universal 1 Credit Union maintains the Plans primarily for the purpose of providing supplemental benefits and compensation for individuals who are management and/or highly compensated employees. Copies of the Plans will be provided to the Secretary of Labor upon request.

Sincerely,

Universal 1 Credit Union

A handwritten signature in black ink, appearing to read 'Jessica Jones', is written over the printed name.

By: Jessica Jones, President/CEO

## RESOLUTION

**WHEREAS**, this Credit Union wishes to enter into a Deferred Compensation Agreement with Michael Hardwick (the "Executive"), effective as of November 1, 2022.

**RESOLVED**, that the officers of this Credit Union be and hereby are authorized and directed to execute the Deferred Compensation Agreement between the Credit Union and the Executive, the terms and conditions of which shall be in substantial conformity to those set forth in the attachment to these resolutions.

**FURTHER RESOLVED**, that the officers of this Credit Union be and hereby are authorized and directed to take such other actions, and to execute such other documents as may be necessary and appropriate to implement the Deferred Compensation Agreement, effective as of November 1, 2022.

**FURTHER RESOLVED**, that a copy of the Deferred Compensation Agreement, when fully executed, shall be kept on file in the office of this Credit Union.

## CERTIFICATION

The undersigned, Carol Jane Kucera, Assistant Secretary of Universal 1 Credit Union, Inc., hereby certifies that the attached is a true copy of a resolution adopted by the corporation's Board of Directors at a regularly scheduled meeting held on the 20th of September, 2022. Said meeting was called in conformity with the corporation's by-laws and a quorum of directors was present and voted.

Further, said resolution has not been amended or rescinded and is in full force and effect.

Carol Jane Kucera  
Carol Jane Kucera  
Assistant Secretary

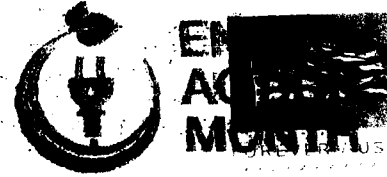
October 6, 2022  
Date

(Corporate Seal)



One River Park Dr.  
P.O. Box 467  
Dayton, OH 45409

COLUMBUS OH 430  
6 OCT 2022 PM 3 L



Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, DC 20210

20210-

