



**LEAFHOUSE**  
FINANCIAL

6504 Bridgepoint Parkway  
Suite 450  
Austin, Texas 78730

2520220070037

November 29, 2021

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, N-1513  
Washington, DC 20210

Re: Alternative Reporting and Disclosure Statement for Unfunded Nonqualified  
Deferred Compensation Plans for Certain Selected Employees

TO WHOM CONCERNED:

This statement is being provided pursuant to Department of Labor Regulation 2520.104-23 as the alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured plans for a select group of management or highly-compensated employees. In accordance with said Regulation, LeafHouse Financial Group, LLC. is providing the following information:

Employer Name and Address: LeafHouse Financial Group, LLC  
6504 Bridge Point Pkwy #450  
Austin, TX 78730

Employer Identification Number: 26-3479399

Name of Plan: LeafHouse Financial Group, LLC  
Liquidity Event Plan

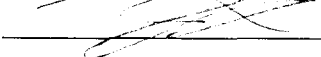
Number of Plans: 1

Number of Participants: 3

The Employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management and highly-compensated employees.

Please acknowledge receipt of this notice by stamping or signing the enclosed copy of this notice and returning it to me in the enclosed envelope.

LeafHouse Financial Group, LLC

By: 



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By: [Signature]



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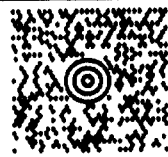
6504 Bridgepoint Parkway  
Suite 450  
Austin, TX 78745

KASSANDRA HENDRIX  
(817) 721-4335  
6504 BRIDGE POINT PKWY  
AUSTIN TX 78730

1 LBS 1 OF 1  
SHP WT: 1 LBS  
DATE: 02 DEC 2021

SHIP EMPLOYEE BENEFITS SECURITY ADMIN  
TO: US DEPT OF LABOR  
N 1513  
200 CONSTITUTION AVE NW

WASHINGTON DC 20210-0001

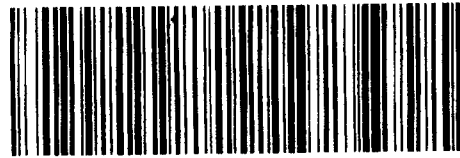


MD 201 9-78



UPS GROUND

TRACKING #: 1Z 81W 8F 03 0541 9911



BILLING: P/P

10M 13.00F 22P 450 48.00 11/2021

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