



Carter & Company International Inc.

Expert Tax & Business Advisory Firm

June 29, 2021

2520220070015

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Dear Sir or Madam:

~~The purpose of this letter is to provide alternative single filing compliance with reporting and disclosure requirements regarding Nonqualified Top Hat Plans under Part I of Title I of ERISA. This filing is intended to comply with Department of Labor Regulations Section 2520.104-23:~~

A. Name and Address of Employer:
Carter & Company

B. Employer Identification Number: 46-4313414

C. Carter & Company maintains the following plans for a select group of management or highly compensated employees:

1. Carter & Company SERP Nonqualified Defined Contribution Plan
Number of Participants: 5

In accordance with Section 104(a)(1) of ERISA, the Employer will provide Plan documents to the Secretary of Labor upon request.

Very truly yours,

Carter & Company

By: 

(signature of authorized representative)

Name: SAMUEL CARTER
(print)

Title: OWNER

CHAPTER 8 COMPANY INTERNATIONAL, INC
2970 CHATELAIN RD, SUITE 100
ATLANTA, GA 30329

ATLANTA

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PENSION AND WELFARE BENEFITS ADMINISTRATION

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