

BROTHERS OF MERCY 457B DEFERRED COMP PLAN

TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

2520213470006

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Brothers of Mercy Management Company, Inc.

The Employer's mailing address is: 4520 Ransom Rd.

Clarence, New York 14031

The Employer's federal identification number (EIN) is: 81-3966963

The plans of employer and the number of participants covered in each plan is:

Plan Name: Brothers of Mercy 457B Deferred Comp Plan

Plan Effective Date: September 1, 2021

Plan Adoption Date: September 1, 2021

Number of Participants: 4

(specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Brothers of Mercy Management Company, Inc.

By: Johny Seau, HR Director, Plan Administrator

Date: 10.15.2021



Skilled Nursing & Rehabilitation Center
10570 Bergtold Road • Clarence, NY 14031

Human Resources Department

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