

April 20, 2020

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW.
Washington, DC 20210

2520202110025

2020 APR 23 PM 12: 19

Re: Promex Industries, Incorporated Phantom Stock Plan

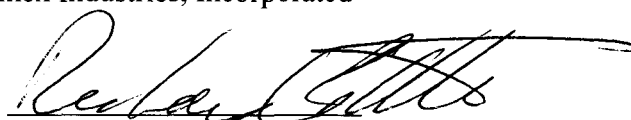
Dear Sir:

Promex Industries, Incorporated, which maintains its headquarters at 3075 Oakmead Village Drive, Santa Clara, California 95051 and whose employer identification number is 77-0409193, hereby supplies the following information pursuant to Department of Labor Regulations Section 2520.104-23:

Promex Industries, Incorporated maintains the referenced plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. The number of employees participating in the plan is ten or less. The referenced plan was adopted effective April 17, 2020. Promex Industries, Incorporated does not maintain any other such plans.

Very truly yours,

Promex Industries, Incorporated

By: 
Richard F. Otte, CEO

2020 APR 23 PM 12: 19

PUBLIC DISCLOSURE
EBSA

ORIGIN ID:ZSMA (408) 816-8047
RYLAN DACKERMAN
PROMEX INDUSTRIES
3075 OAKMEAD VILLAGE DR.
SANTA CLARA, CA 95051

SHIP DATE: 22APR20
ACTWGT: 1.00 LB
CAD: 3472649/NET4220

UNITED STATES US

BILL SENDER

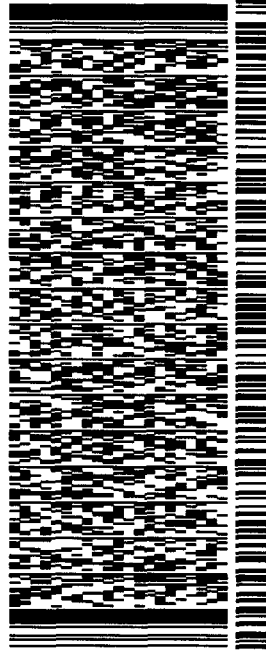
TO EMPLOYEE BENEFITS SECURITY ADMIN.

US DEPARTMENT OF LABOR
200 CONSTITUTION AVE. NW
ROOM N-1513
WASHINGTON DC 20210

REF: TOP HAT PLAN EXEMPTION

PO INV/ DEPT:

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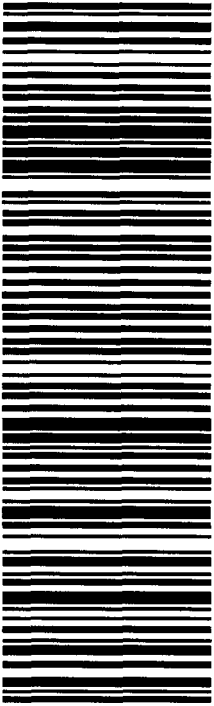
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PRIORITY OVERNIGHT

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DC-US 20210
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