



**FRANKLIN  
MUTUAL  
INSURANCE**

FOR THE WAY YOU LIVE™

5 Broad Street • PO Box 400 • Branchville, NJ 07826-0400

Tel: 973-948-8800 • Fax: 973-948-7190 • www.FMIweb.com

The Franklin Mutual Insurance Company FMI Inc. FMI Insurance Company Fidelity Mohawk Insurance Company

September 18, 2019

**Personal & Confidential  
Via Certified Mail-Return Receipt Requested**

2520193380046

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N- 1513  
U. S. Department of Labor  
200 Constitution Avenue N .W.  
Washington, D. C. 20210

Re: Alternative Method of Compliance with Reporting and Disclosure Requirements Pursuant  
to Section 2520.104-23 of the Department of Labor Regulations

Dear Sir or Madam:

This statement is filed in accordance with the requirements of the above-captioned regulations  
and in lieu of Internal Revenue Service Form 5500:

**Employer Name, Address and Taxpayer Identification Number**

Franklin Mutual Insurance Company  
5 Broad Street  
PO Box 400  
Branchville, NJ 07826

EIN: 22-0923502

**Statement as to Plan**

The Employer maintains, at the above address, the Franklin Mutual Insurance Company  
Executive Deferred Compensation Plan (the "Plan") primarily for the purpose of providing  
deferred compensation for a select group of management or highly compensated employees. At  
present, 2 employees participate in the Plan.

**ERISA Compliance**


The Employer is making this filing solely as a protective measure in the unlikely event its Plan should be determined at some point to be an employee benefit plan as defined in section 3(3) of ERISA. This form and this filing are not, and should not be construed as, an admission by the Employer that the Plan is an employee benefit plan subject to ERISA for any purpose.

**Copy of Plan**

A copy of the Plan will be provided to the Department of Labor upon request.

Sincerely,

Franklin Mutual Insurance Company

A handwritten signature in black ink, appearing to read "George H. Guptill, Jr.", written in a cursive style.

Mr. George H. Guptill, Jr., Chairman

**CERTIFIED MAIL**

**FMI** FRANKLIN MUTU  
INSURANCE FOR TI  
PO Box 400 • Branchville, New Jersey • 07826



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