



September 26, 2019

2520193380040

CERTIFIED MAIL

RETURN RECEIPT REQUESTED

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
Room N-1515
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: American Association of Endodontists

Dear Sir or Madam:

This letter constitutes a Reporting and Disclosure Compliance Statement pursuant to Section 110 of the Employee Retirement Income Security Act of 1974 ("ERISA") and Department of Labor regulation Section 2520.104-23 with respect to the arrangement identified below.

Please stamp the enclosed copy of this letter as "Received" and return it to the undersigned in the enclosed envelope.

In compliance with Section 110 of ERISA and the Department of Labor regulations set forth in Section 2520.104-23, the American Association of Endodontists is filing this Reporting and Disclosure Compliance Statement which includes the following information:

Name of Arrangement: American Association of Endodontists Nonqualified Deferred Compensation Plan

Employer: American Association of Endodontists
Address: 180 N. Stetson Ave., Suite 1500, Chicago IL 60601
Employer Id. No.: 23-6191090

Number of Employees Participating: 1

American Association of Endodontists maintains the American Association of Endodontists Nonqualified Deferred Compensation Plan primarily for the purpose of providing deferred compensation to employees who are members of a select group of management or highly compensated employees. The Human Resources Manager of the American Association of Endodontists, as the plan administrator, will provide a copy of the Plan to the Secretary of Labor upon request, as required by ERISA Section 104(a)(6).

Very truly yours,

American Association of Endodontists

By Paul D. Guy
Its Human Resources Manager