

ESPERANZA INC. 457(B) PLAN  
TOP-HAT PLAN EXEMPTION STATEMENT

2019 JUN 11 PM 4:50

U.S. Department of Labor  
Employee Benefits Security Administration  
Top-Hat Plan Exemption  
200 Constitution Ave., NW, N-1513  
Washington, D.C. 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

2520192040025

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Esperanza, Inc.

The Employer's mailing address is: 3104 West 25th Street  
Cleveland, Ohio 44109

The Employer's federal identification number (EIN) is: 34-1403492

The plans of employer and the number of participants covered in each plan is:

Plan Name: Esperanza Inc. 457(b) Plan

Plan Effective Date: January 1, 2019

Plan Adoption Date: May 31, 2019

Number of Participants: one

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Esperanza, Inc.

By: [Signature]

Date: 5/31/19

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