

**TOP HAT FILING FOR  
HARDESTY & HANOVER HOLDING, LLC  
UNIT PURCHASE PLAN**

2019-05-02 11:32

May 2, 2019

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, N-1513  
Washington, DC 20210

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Pursuant to Department of Labor Reg. 2520.104-23, the following information is provided as an alternative to the normal method of reporting and disclosure that is required for pension plans by the Employee Retirement Income Security Act of 1974.

Name and address of employer: Hardesty & Hanover Holding, LLC  
1501 Broadway  
New York, NY 10036

Employer's EIN: 45-2750375

The employer named above maintains the following plan which is an unfunded plan maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

Hardesty & Hanover Holding, LLC Unit Purchase Plan

12 employees participate in the plan.

Upon request, the employer will provide a copy of the plan document to the Employee Benefits Security Administration.



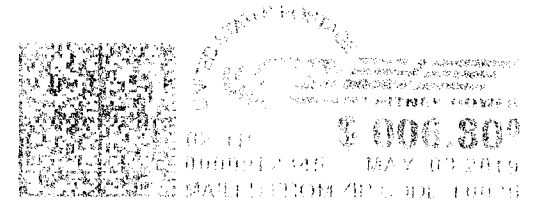
1501 Broadway  
New York, NY 10036

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