

**STATEMENT OF COMPLIANCE WITH**

**29 CFR 2520.104-23**

McGinnis Chen Associates, Inc.  
FEIN #: 25-1906349

2520191430096

Name of Agreements: Deferred Compensation Agreement for  
Yi-Tso Jeff Chen (“Agreement”)

McGinnis Chen Associates, Inc., a California corporation (“Corporation”), maintains an unfunded deferred compensation plan primarily for the purpose of providing tax-deferred compensation for a key employee of Corporation. The Agreement was signed and effective on or about January 1, 2019.

Corporation will provide plan documents to the Secretary of Labor on request as required by Section 104(a)(6) of the Employee Retirement Income Security Act of 1974.

Dated: April 17, 2019

McGinnis Chen Associates, Inc., a California  
corporation

By   
Yi-Tso Jeff Chen, President

Jennifer W. Suzuki  
Partner

jsuzuki@longlevit.com

April 17, 2019

S7567.002

**CERTIFIED U.S. MAIL**  
**RETURN RECEIPT REQUESTED**

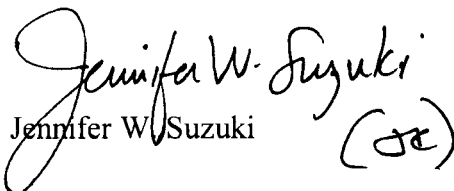
Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, DC 20210

Re: Deferred Compensation Agreement of McGinnis Chen  
Associates, Inc. ("Agreement")

Dear Sir/Madam:

Enclosed is a Statement of Compliance with 29 CFR 2520.104-23 that is being  
filed on behalf of the above Agreement.

Yours truly,

  
Jennifer W. Suzuki (je)

**LONG & LEVIT LLP**

ATTORNEYS AND COUNSELORS AT LAW

465 California Street, 5<sup>th</sup> Floor  
San Francisco, CA 94104

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