

MARK S. FEUER  
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2520191430020

March 29, 2019

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Re: *Alternative Reporting and Disclosure Compliance Statement under DOL Reg. Sec. 2520.104-23*

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Dear Sir/Madam:

GROTE ENTERPRISES, LLC (the "Plan Sponsor"), in compliance with Section 104(a) of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), and DOL Reg. Section 2520.104-23, is filing this Alternative Reporting and Disclosure Compliance Statement. The Plan Sponsor is providing the following information to comply with the reporting and disclosure requirements under DOL Reg. Section 2520.104-23(b)(1):

**Employer Name, EIN:** GROTE ENTERPRISES, LLC  
31-1575000

**Address for all Employers:** 5240 Lester Road  
Cincinnati, OH 45213

**Declaration:** The Plan Sponsor maintains the plan listed below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

**Plan Names, Number of** Restricted Executive Bonus Agreement (the "Plan")  
**Employees in Each:** executed as of March 1, 2019 – 1 eligible employee

The Plan Sponsor hereby represents that it will provide the documents for the above listed plans to the Secretary of Labor upon request as required by ERISA Section 104(a)(6) and DOL Reg. Section 2520.104-23(b)(2) and DOL Reg. Section 2520.104-24(a)(1).

The Plan Sponsor respectfully requests that the Department of Labor accept this letter as satisfying its reporting and disclosure obligations under Part 1 of Title I of ERISA for the Plan.

Very truly yours.

A handwritten signature in cursive script that reads "Mark S. Feuer / do".

Mark S. Feuer

MSF:do

[LBAUTHOR]

Taft Stettinius & Hollister LLP  
40 North Main Street, Suite 1700  
Dayton, OH 45423-1029

**CERTIFIED MAIL**



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