

**ALTERNATE REPORTING AND DISCLOSURE STATEMENT
FOR NONQUALIFIED DEFERRED COMPENSATION PLANS FOR A SELECT
GROUP OF MANAGEMENT OR HIGHLY COMPENSATED EMPLOYEES**

To the Secretary of Labor:

2520190490005

Effective November 30, 2018, Granite City Refrigeration & Sheet Metal, Inc., adopted a Deferred Compensation Plan (the “Plan”), which provides deferred compensation benefits to a key employee. The Plan provides that deferred compensation benefits become payable upon such employee’s separation from service, or upon other events described in the Plan.

Granite City Refrigeration & Sheet Metal, Inc., believes that the Plan constitutes a “pension plan” under the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), and provides the following information to comply with the alternative method of reporting and disclosure for unfunded plans maintained for a select group of management or highly compensated employees pursuant to 29 C.F.R. § 2520.104-23, under Section 110 of Title I of ERISA.

Name and Address of Employer: Granite City Refrigeration & Sheet Metal, Inc.
710 9th Ave S, PO Box 358
Sauk Rapids, MN 56379-0358

Employer Identification Number: 41-1465880

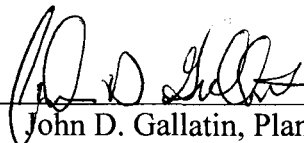
Granite City Refrigeration & Sheet Metal, Inc. maintains one or more plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and Participants in Each Plan:

One (1) plan covering one (1) employee, Kathleen A. Gallatin

Dated: November 30, 2018

Granite City Refrigeration & Sheet Metal, Inc.

By: 
John D. Gallatin, Plan Administrator



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December 19, 2018

SECRETARY OF LABOR
TOP HAT PLAN EXEMPTION
EMPLOYEE BENEFITS SECURITY ADMINISTRATION
ROOM N-1513
US DEPARTMENT OF LABOR
200 CONSTITUTION AVENUE NW
WASHINGTON DC 20210

RE: Granite City Refrigeration & Sheet Metal, Inc., Kathleen A. Gallatin Deferred
Compensation Plan
Our File No. 421339

Dear Madam/Sir:

Please find enclosed an Alternate Reporting and Disclosure Statement for NonQualified
Deferred Compensation Plans for a Select Group of Management or Highly Compensated
Employees for Granite City Refrigeration & Sheet Metal, Inc.

In the event you have any questions or concerns regarding the enclosed please contact the
undersigned directly.

Sincerely,

GRAY, PLANT, MOOTY,
MOOTY & BENNETT, P.A.

Steven B. Kutscheid
Attorney

SBK/nas
Enclosure

cc: Kathleen A. Gallatin

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**GRAY
PLANT
MOOTY**

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