

KNOLLWOOD INVESTMENT ADVISORY, LLC
217 International Circle
Hunt Valley MD 21030

2018 DEC 14 PM 3:54

**NOTICE OF ALTERNATIVE METHOD OF COMPLIANCE
FOR TOP-HAT PLAN**

December 6, 2018

Return Receipt Requested

2520190450160

Top Hat Plan Exemption
Employee Benefits Security Administration, Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Knollwood Investment Advisory, LLC Profit Bonus Plan (the "Plan")

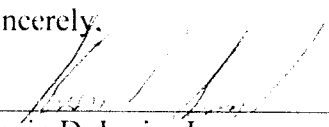
Dear Program Administrator:

Knollwood Investment Advisory, LLC ("Knollwood") is filing this statement pursuant to DOL Reg. § 2520.104-23 to satisfy the one-time reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended.

On October 1, 2018, Knollwood established the Knollwood Investment Advisory, LLC Profit Bonus Plan for a select group of management and highly compensated employees. Only those officers and key employees that the board of managers of Knollwood specifically designates may participate in the Plan. As of October 1, 2018, the Plan covers only seven participants who are officers or highly compensated employees employed by Knollwood. Knollwood pays plan benefits solely from its general assets. Knollwood's address is 217 International Circle, Hunt Valley, Maryland 21030, and its employer identification number is 47-2504531.

Please acknowledge receipt of this statement by date stamping the enclosed duplicate copy and returning it in the enclosed prepaid envelope. Thank you.

Sincerely,



Kevin D. Irwin, Jr.
President of the Company

Enclosures

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217 International Circle
Hunt Valley MD 21030

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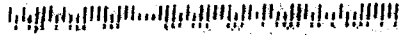
Sincerely,



Kevin D. Irwin, Jr.
President of the Company

Enclosures

McDermott Will
444 West Lake St
Chicago, Illinois 60606-0029

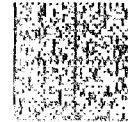


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