

2520190450152

Human Resources Department

610-328-8397
FAX 610-690-2040

December 18, 2018

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Re: Statement Pursuant to DOL Reg. Section 2520.104-23

Dear Sir or Madam:

This notice is intended to satisfy the requirements of Department of Labor Regulation Section 2520.104-23 with respect to a plan maintained by Swarthmore College. The plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. In order to satisfy the requirements of the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, the following information is provided:

1. Employer: Swarthmore College
2. Address: Vice President for Human Resources
500 College Avenue
Swarthmore, PA 19081-1306
3. EIN: 23-1352683
4. Plan Name: 2025 Swarthmore College Dr. Valerie Smith Section
457(f) Deferred Compensation Plan
5. Number of Participants: 1

All benefits due under the Plan will be provided by Swarthmore College from its general, unsecured assets. Swarthmore College maintains no other plans of this type. Swarthmore College will provide copies of the plan documents to the Department of Labor upon request.

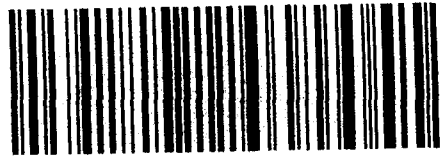
Very truly yours,

Pamela Prescod Caesar
Vice President for Human Resources



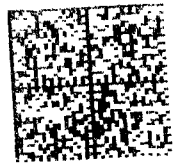
Human Resources Department
Swarthmore College
500 College Avenue
Swarthmore, PA 19081-1397

CERTIFIED MAIL



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