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ROGER L. BURK & ASSOCIATES, INC.
2369 Waters Drive
Mendota Heights, Minnesota 55120
651-686-0181

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TOP-HAT PLAN EXEMPTION
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Roger L. Burk & Associates, Inc.

Dear Sir/Madam:

Pursuant to the provisions of Department of Labor Regulations §2520.104-23, setting forth an alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for Unfunded or Insured Pension Plans maintained by an Employer for a select group of management or highly compensated employees, please be advised as follows:


Name and Address of Employer: **Roger L. Burk & Associates, Inc.**
2369 Waters Drive
Mendota Heights, Minnesota 55120

Employer Identification Number: 41-1588384

Roger L. Burk & Associates, Inc. currently maintains one (1) Nonqualified Deferred Compensation Plan (the Plan) primarily for the purpose of providing Deferred Compensation for a select group of management or highly compensated employees. Copies of the Plan will be provided to the Department upon receipt of a written request.

There currently is one (1) participant in the Plan.

ROGER L. BURK & ASSOCIATES, INC.

By: 
Robert L. Burk
Its: Chief Executive Officer/President

Date: 11/28/18

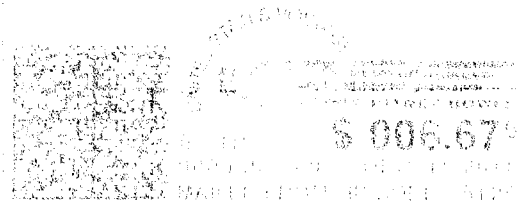
SJOBERG & TEBEL
ATTORNEYS & COUNSELORS
AT LAW

2145 Woodlane Drive | Suite 101
Woodbury, Minnesota 55125

CERTIFIED MAIL



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