



Andrew Gregg  
Vice President, Employee Benefits  
Human Resources Department

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October 9, 2018

BY CERTIFIED MAIL

2520183370009

United States Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Ave., N.W., Room N-1513  
Washington, D.C. 20210

RECEIVED  
2018 OCT 15 PM 3:20

RE: Prudential Global Business Partner Non-Qualified Plan – Top Hot Plan Filing

Dear Sir or Madam:

In accordance with Department of Labor Regulation § 2520.104-23, this letter will serve as the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended, for a pension plan for a select group of management or highly compensated employees.

1. The Prudential Insurance Company of America (the “Sponsor”), a Delaware corporation, maintains and sponsors the Prudential Global Business Partner Non-Qualified Plan (the “Plan”). The Sponsor adopted the Plan effective April 1, 2018.

2. The address of the Sponsor is 751 Broad Street, Newark, NJ 07102-3772.

3. The employer identification number assigned by the Internal Revenue Service to the Sponsor is 22-1211670.

4. The Sponsor declares it maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

5. Currently, there is one (1) employee participating in the Plan.

6. A copy of the Plan document will be furnished upon request.

Enclosed is a duplicate copy of the letter and a stamped self-addressed envelope. Please date-stamp the letter and return it in the enclosed envelope.

Sincerely,  
Andrew Gregg  
Vice President, Employee Benefits

cc: Renata Pompa, Esq.  
Prudential – Law Department



# Prudential Financial

The Prudential Insurance Company of America  
751 Broad Street, 19th Floor  
Newark NJ 07102-2223

UNITED STATES



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