

REPORTING AND DISCLOSURE STATEMENT

TOP HAT PLAN (DOL REG. §2520.104-23)

2520182740076

Name and Address of Employer: *Committee on Capital Markets Regulation, Inc.
8 Dunham Road
Assonet, MA 02702*

EIN of Employer: *30-0406260*

The Employer maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Name of Plan: *Committee on Capital Markets Regulation, Inc.
457(b) Plan*

Date of Adoption of Plan: *July 31*, 2018

Number of Plans: *One (1)*

Number of Participants in Plan: *One (1)*

**COMMITTEE ON CAPITAL MARKETS
REGULATION, INC.**

By: 

Dated: 7/31/18



U.S. DEPARTMENT OF LABOR
2018 AUG 18 PM 10:45

ANGELL

The ANGELL Pension Group, Inc.
Actuaries, Consultants, and Administrators for Employee Benefit Plans
88 Boyd Avenue
East Providence, Rhode Island 02914
Tel: 401.438.9250 Fax: 401.438.7278
info@angellpensiongroup.com
www.angellpensiongroup.com

August 3, 2018

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

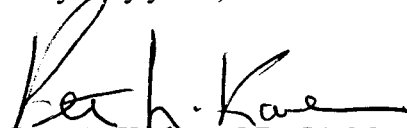
Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

Re: Committee on Capital Markets Regulation, Inc. 457(b) Plan

Dear Sir/Madam:

Enclosed for filing is the Disclosure Statement for the Committee on Capital Markets Regulation, Inc. 457(b) Plan to meet the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of ERISA for top-hat plans pursuant to DOL Reg. Section 2520.104-23.

Very truly yours,



Peter L. Karlson, J.D., LL.M.

PLK/jjb
TOPHAT DOL LTR-457B.DOC/14840-01

Enclosure

cc: Sharon Levesque, CPA, *Committee on Capital Markets Regulation, Inc.*
Joseph J. Borin, CPC, QPA, QKA, *The Angell Pension Group, Inc.*



The ANGELL Pension Group, Inc.
88 Boyd Avenue
East Providence, Rhode Island 02914



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PERSONAL & CONFIDENTIAL

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