

Bates

Office of the Vice President for Finance, Administration and Treasurer

ES&A/PUBLIC DISCLOS

2018 JUL 23 AM 11:25

Date: July 11, 2018

2520182740058

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Top Hat Declaration Statement for President & Trustees of Bates College

Dear Sir/Madam:

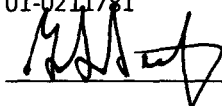
See below for information regarding the Top-Hat Declaration Statement for President & Trustees of Bates College. The statement is being filed with the Department of Labor within 120 days following the adoption of the plan.

Name of Tax-Exempt Employer: President & Trustees of Bates College

Address of Tax-Exempt Employer: 2 Andrews Road
221 Lane Hall
Lewiston, ME 04240

E.I.N.: 01-0211781

Submitted by: (Plan Administrator)

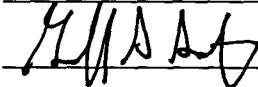


President & Trustees of Bates College (the "Employer"), hereby declares that the purpose of the Bates College 457(f) Deferred Compensation Plan (the "Plan") is to provide deferred compensation primarily for a select group of management or highly compensated employees. The number of employees covered under the Plan is 1. In addition, the Employer, maintains one other unfunded top-hat plans described in Department of Labor Regulation Section 2520.104-23(b).

Date:

7-11-2018

By:



Printed Name:

Geoffrey S. Swift

Title: (On Behalf of the Plan Sponsor)

Treasurer

Sincerely,



Geoff S. Swift

Vice President for Finance and Administration and Treasurer

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Bates College
Brenda Sawyer
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LEWISTON ME 04240

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RETURN RECEIPT REQUESTED

SHIP TO:

US Dept. of Labor Employee Benefits Security Ad
Top Hat Plan Exemption
200 CONSTITUTION AVE NW
ROOM N-1513
WASHINGTON DC 20210-0002

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