



100 ORAU Way

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July 18, 2018

Top-Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

2520182230047

TOP-HAT DECLARATION STATEMENT FOR OAK RIDGE ASSOCIATED UNIVERSITIES, INC.

Dear Sir/Madam:

See below for information regarding the Top-Hat Declaration Statement for Oak Ridge Associated Universities, Inc. (ORAU). The statement is being filed with the Department of Labor within 120 days following the adoption of the plan.

Name of Employer: Oak Ridge Associated Universities, Inc.
Address: 100 ORAU Way, Oak Ridge, Tennessee 37830
E.I.N.: 62-0476816
Submitted by: Meghan F. Millwood, Vice President Human Resources, Plan Administrator

ORAU hereby declares that the purpose of the ORAU 457(b) Plan and the ORAU 457(f) Deferred Compensation Plan (the "plans") is to provide deferred compensation primarily for a select group of management or highly compensated employees. The number of employees covered under the plans is currently thirteen (13). In addition, ORAU confirms that these two top-hat plans are unfunded as described in Department of Labor Regulation Section 2520.104-23(b).

Date: July 18, 2018

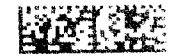
By: Meghan F. Millwood
Printed Name: Meghan F. Millwood
Title: Vice President Human Resources, Plan Administrator

Sincerely,

Meghan F. Millwood, SPHR, SHRM-SCP
Vice President, Human Resources

ORAU
P.O. Box 117 Oak Ridge, TN 37831

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