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2018 JUL -9 PM 4: 17



Ed Broking Miami Inc.
800 Brickell Ave. Ste 300
Miami FL 33131

+1 (305) 537-4000

www.edbroking.com

June 26, 2018

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

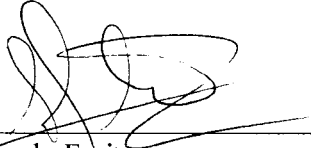
2520182230036

TO: Secretary of Labor
Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

FROM: Ed Broking Miami Inc.
800 Brickell Ave Suite 300
Miami, FL, 33131
E.I.N: 61-1775366

Ed Broking Miami Inc. (the "Employer") maintains the Ed Broking Miami Inc. Nonqualified Deferred Compensation Plan (the "Plan") primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. This is the only non-qualified deferred compensation plan maintained by the Employer. The number of employees participating in the Plan is one.

ED BROKING MIAMI INC.

By: 
Name: Agustin de Freitas
Title: CEO

ED BROKING MIAMI INC.
800 BRICKELL AVE., SUITE 300
MIAMI FL 33131

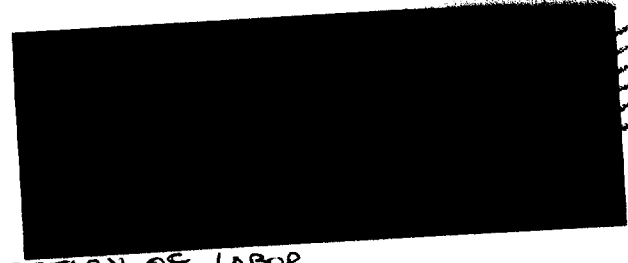
PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS FOR DAUGHTER LINE

CERTIFIED MAIL



7017 0190 0000 7066 8354

**RETURN RECEIPT
REQUESTED**



SECRETARY OF LABOR
TOP HAT PLAN EXEMPTION
PENSION AND WELFARE BENEFITS ADMINISTRATION
ROOM N-5644 N513
U.S. DEPARTMENT OF LABOR
200 CONSTITUTION AVENUE, N.W.
WASHINGTON, DC 20210

**RETURN RECEIPT
REQUESTED**

20210-

