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September 8, 2017

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

2520172650075

2017 SEP 12 11:27

U.S. Department of Labor
Employee Benefits Security Administration
200 Constitution Avenue, N.W.
Room N-1515
Washington, D.C. 20210

Re: Catawba College 457(b) Deferred Compensation Plan (the "Plan")

Dear Sir or Madam:

Catawba College (the "Plan Sponsor"), a North Carolina nonprofit entity with its principal office in Salisbury, North Carolina, adopted a deferred compensation plan for a select group of management or highly compensated employees. In compliance with the requirements of the alternative method of reporting and disclosure of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, for so called "Top Hat Plans," the following statement is hereby filed in behalf of the Plan Sponsor in accordance with 29 C.F.R. § 2520.104-23:

1. Name of Plan: Catawba College 457(b) Deferred Compensation Plan
2. Name and address of Plan Sponsor: Catawba College, 2300 W. Innes Street, Salisbury, North Carolina 28144-2441
3. Employer identification number of Plan Sponsor: 56-0530251
4. Number of employees in Plan: 7
5. Status of Plan as a Top Hat Plan: The Plan Sponsor maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Benefits from the Plan are paid as needed solely from the general assets of the Plan Sponsor.
6. Effective Date of Plan: January 1, 2014

Please contact the undersigned if additional information is required.

Sincerely,

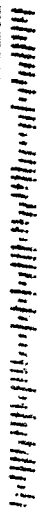


Patrick M. Allen

PMA
Enclosures

cc: Mr. Nelson Murphy (w/encl.)
Mr. Larry Farmer (w/encl.)
Ms. Melissa Barnette (w/encl.)
Ms. Ashley Currin (w/encl.)

REGISTERED MAIL



P. Huen

After Five Days Return To

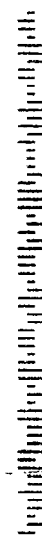
CARLYLE SANDRIDGE & RICE
LIMITED LIABILITY PARTNERSHIP

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Winston-Salem, NC 27101



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Washington, DC 20210

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