

Alfred University

August 30, 2017

Human Resources Services
1 Saxon Drive
Alfred, NY 14802
607.871.2118
Fax: 607.871.2318
humanresources@alfred.edu
www.alfred.edu

Top-Hat Plan Exemption
Employee Benefit Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

2520172650062

EDSA/PUBLIC DISCLOSURE
2017 SEP -5 PM 4:45

Re: Top-Hat Declaration Statement for Alfred University

Dear Sir/Madam:

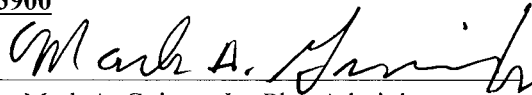
See below for information regarding the Top-Hat Declaration Statement for Alfred University. The statement is being filed with the Department of Labor within 120 days following the adoption of the plan.

Name of Employer: **Alfred University**

Address: **1 Saxon Drive, Greene Hall, Alfred, NY 14802**

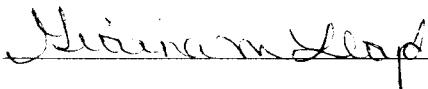
E.I.N.: **16-0743900**

Submitted by:


Mark A. Guinan, Jr., Plan Administrator

Alfred University hereby declares that the purpose of the 457 deferred compensation plan of Alfred University is to provide deferred compensation primarily for a select group of management or highly compensated employees. The number of employees covered by the plan is eleven (11). In addition, the employer maintains unfunded top-hat plans described in Department of Labor Regulation Section 2520.104-23(b).

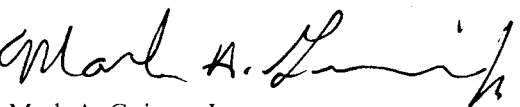
Date: 8/30/2017

By: 

Printed Name: Giovina M. Lloyd

Title: (On behalf of the Plan Sponsor) Vice President / CFO

Sincerely,



Mark A. Guinan, Jr.
Plan Administrator

Alfred University

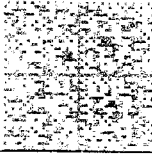
Human Resources Services
1 Saxon Drive
Alfred, NY 14802

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

CERTIFIED MAIL



7014 3490 0000 3482 6746



POSTNET
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000034826746 SEP 01 2017

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