

JONES DAY

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VCHANG@JONESDAY.COM

JP023147

August 30, 2017

Via FedEx

Top Hat Plan Exemption
U.S. Department of Labor
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210

2520172650025

SEP 1 10:14

Re: Top Hat Filing for Gulftech International, Inc.

To Whom It May Concern:

Pursuant to the provisions of Labor Regulation Section 2520.104-23, this statement is submitted in compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans maintained by an employer for a select group of management or highly compensated employees.

1. The employer described below maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
2. The name, address, and employer identification number (EIN) of the employer are:

Gulftech International, Inc.
370 Seventeenth Street
Suite 5150
Denver, CO 80202
EIN: 84-1123343

3. The name of the plan and the number of employees participating in such plan are as follows:

Gulftech International, Inc. 2016 Senior Executive Account Balance Plan
1 employee

NAI-1502888144v2

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Please feel free to contact the undersigned if you have any questions or need any additional assistance.

Very truly yours,

A handwritten signature in black ink, appearing to read "Victor S. Chang". The signature is written in a cursive style with a long, sweeping underline that extends to the right.

Victor S. Chang

ORIGIN ID: TSGA (202) 879-3839
VICTOR CHANG
JONES DAY
51 LOUISIANA AVENUE, N.W.
WASHINGTON, DC 20001
UNITED STATES US

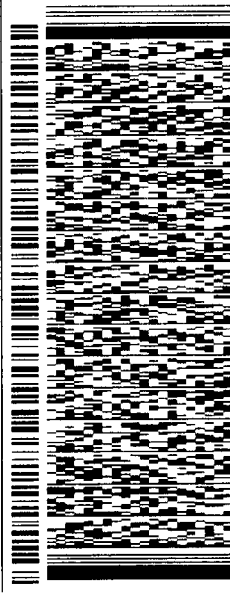
SHIP DATE: 30AUG17
ACTWGT: 0.50 LB
CAD: 103908630WSX12750
BILL SENDER

TO U.S. DEPARTMENT OF LABOR
TOP HAT PLAN EXEMPTION
200 CONSTITUTION AVE NW N-1513
Empl. Benefits Sec. Admin.
WASHINGTON DC 20210

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(202) 879-3839 REF JPC23147 456092-600001

PO NV DEPT



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PRIORITY OVERNIGHT

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FOLD on this line and place in shipping pouch with bar code and delivery address visible

1. Fold the first printed page in half and use as the shipping label.
2. Place the label in a waybill pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.
3. Keep the second page as a receipt for your records. The receipt contains the terms and conditions of shipping and information useful for tracking your package.

Legal Terms and Conditions

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