



Cogentrix

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Cogentrix Energy Power Management, LLC 2017 APR 24 PM 3: 53

9405 Arrowpoint Boulevard
Charlotte, NC 28273-8110
704-525-3800
Fax 704-529-5313

April 18, 2017

VIA CERTIFIED MAIL 70140510000175831120
Return Receipt Requested

2520171280009

Top Hat Plan Exemption
Employee Benefits Security Administration, Room N-1513
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington, D.C. 20210

Re: Statement Pursuant to Department of Labor Regulation 29 C.F.R. § 2520.104-23

Employer: Cogentrix Energy Power Management, LLC
9405 Arrowpoint Boulevard
Charlotte, North Carolina 28273-8110
Employer Identification Number: 46-0647218

Ladies and Gentlemen:

Enclosed please find the statement described in Department of Labor Regulation § 2520.104-23(b)(1) for the “top hat” plans maintained by Cogentrix Energy Power Management, LLC. The plan documents are available for inspection and will be provided to your office upon request.

If you require additional information, please contact the undersigned at (704) 672-2705.

Sincerely,

COGENTRIX ENERGY POWER MANAGEMENT, LLC

By: L. Okowita

Name: Linda Okowita

Title: Senior Vice President, Human Resources

Enclosure

INFORMATION STATEMENT

Pursuant to Department of Labor Regulation § 2520.104-23

1. Name and Address of Employer:

Cogentrix Energy Power Management, LLC
9405 Arrowpoint Boulevard
Charlotte, North Carolina 28273-8110

2. Employer Identification No.:

46-0647218

3. The Employer maintains one unfunded plan primarily for the purpose of providing deferred compensation for a select group of management or highly-compensated employees. The name of such plan and the number of current and former employees participating in such plan as of the date hereof is set forth in the following table:

<u>Plan Name</u>	<u>Number of Current and Former Employees Participating in Plan</u>
Cogentrix Energy Power Management, LLC Executive Severance Plan	1

COGENTRIX ENERGY POWER MANAGEMENT, LLC

By: Linda Okowita
Name: Linda Okowita
Title: Senior Vice President, Human Resources

FIRST CLASS

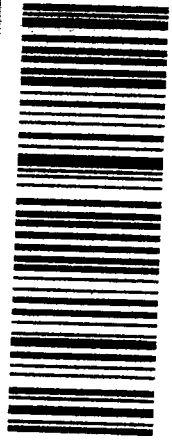
FIRST CLASS

FIRST CLASS

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AT TOP OF ENVELOPE TO THE RIGHT
ADDRESS FOLD AT DOTTED LINE

REGISTERED MAIL™



7014 0510 0001 7583 1120

Vinson & Elkins LLP Attorneys at Law
FIRST CITY TOWER
1001 FANNIN STREET SUITE 2500
HOUSTON TX 77002-6760

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200 Constitution Avenue N.W.
Washington, D.C. 2210

N-1513