

# Kauai Teachers Federal Credit Union

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## ERISA STATEMENT Deferred Compensation Plans – Top Hat Plan Exemption (I.e. 457(b) and 457(f) or 409A Plans)

The U.S. Department of Labor (DOL) requires you file a Statement informing DOL that you have established and are maintaining a plan for a select group of management employees. This notice must be filed within 120 days of the effective date of the plan, or the DOL may assess a penalty. To avoid any penalty, we recommend that the Statement be filed with DOL the same day the plan document is signed.

2017 MAR 17 PM 1:05  
ERISA STATEMENT RECEIVED

Date: \_\_\_\_\_

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210


Re: Top Hat Plan Exemption

Dear Secretary:

The purpose of this letter is to provide alternative single filing compliance with reporting and disclosure requirements regarding Non Qualified Top Hat Plans under Part 1 of Title 1 of the Employee Retirement Income Security Act of 1974. Pursuant to Regulation Section 2520.104-23(b), we provide the following information:

1. Employer Name: KAUAI TEACHERS FEDERAL CREDIT UNION
2. Employer Address: 2969 UMI STREET
3. Employer EIN: 99-0073409
4. The Plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. Number of Plans: 1
6. Number of Employees in each Plan(s): 1

The Employer will provide plan documents, if any, to the Secretary upon request as required by Section 104(a) (1) of ERISA.

Sincerely,  
  
Signature of Authorized Person  
Name of Employer Kauai Teachers Federal Credit Union

EXBEN-1655733.2-1218-011



Kauai Teachers Federal Credit Union  
2969 Umi Street  
Lihue, Hawaii 96766-1345

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ADDRESS SERVICE REQUESTED

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Washington, D.C. 20210

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