

CARLSMITH BALL LLP

A LIMITED LIABILITY LAW PARTNERSHIP

EBSA/PUBLIC DISCLOSURE

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2017 FEB 15 AM 8:30

DIRECT DIAL NO.
808.523.2512

OUR REFERENCE NO.:
4850-7100-5757.1 / 037242-2

February 6, 2017

**VIA CERTIFIED MAIL/
RETURN RECEIPT REQUESTED**

2520170610017

Top-Hat Plan Exemption
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W., Room N-1513
Washington, D.C. 20210

Dear Sir or Madam:

Re: National Mortgage and Finance Company, Limited
Key Employee Incentive Deferred Compensation Plan

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans for a select group of management of highly compensated employees, specified in Department of Labor Regulations 29 C.F.R. § 2520.104-23, the following information is provided on behalf of the undersigned employer:

Name and Address of Sponsoring Employer:

National Mortgage and Finance Company, Limited
1022 Bethel Street
Honolulu, HI 96813

Employer Identification Number: 99-0049850

Declaration:

Employer maintains the plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and Participants in Each Plan:

One plan covering five participants.

HONOLULU

HILO

KONA

MAUI

LOS ANGELES

Funding:

The foregoing plan is unfunded. Benefits will be paid solely from the general assets of the employer.


If you have any questions with respect to this top-hat filing or would like additional information, please contact the undersigned.

Very truly yours,

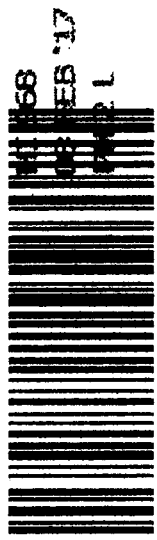
A handwritten signature in black ink, appearing to read 'John C. Khil', with a long horizontal flourish extending to the right.

John C. Khil

JCK/lyy


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HONOLULU, HAWAII 96809-0656

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