

**Alternative Reporting and Disclosure Statement
for
Pension Plans for Certain Selected Employees**

TO: Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington D.C. 20210

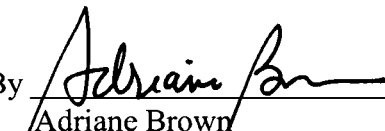
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Pursuant to Department of Labor Regulation § 2520.104-23, the Plan Administrator named below submits this Statement as an alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans maintained by an employer for a select group of management or highly compensated employees.

1. Name of Employer: Intellectual Ventures Management, LLC
2. Employer's Address: 3150 139th Ave. SE, Building 4, Bellevue, WA 98005
3. Employer's Employer Identification Number (EIN): 91-2090408
4. The Employer maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. Number of such plans: 1
6. Number of employees in each such plan is: 1

INTELLECTUAL VENTURES MANAGEMENT,
LLC, as Plan Administrator

Dated: December 13, 2016

By 

Adriane Brown
Its President and Chief Operating Officer

December 28, 2016

Lisa M. McGimpsey
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Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington, D.C. 20210

Re: Intellectual Ventures Management, LLC
Alternative Reporting and Disclosure Statement for Pension Plan for Certain
Selected Employees

Dear Sir or Madam:

On behalf of our client, Intellectual Ventures Management, LLC, enclosed please find an Alternative Reporting and Disclosure Statement for a top hat plan, as permitted under 29 C.F.R. § 2520.104-23. Please contact me if you have any questions.

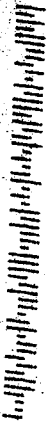
Respectfully submitted,



Lisa M. McGimpsey

LMM:ebmm
Enclosure

CERTIFIED MAIL



7015 0640 0003 6872 4184



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PERKINSOJIE

Perkins Coie LLP | PerkinsCoie.com

1201 Third Avenue, Suite 4900
Seattle, WA 98101-3099

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Employee Benefits Security
Administration
Room N-1513

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Washington, D.C. 20210

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