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HISTORIC HUDSON VALLEY 457(B) PLAN

TOP-HAT PLAN EXEMPTION STATEMENT

U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Ave., NW, N-1513
Washington, D.C. 20210

2520170330004

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the Employer is: Historic Hudson Valley

The Employer's mailing address is: 639 Bedford Road
Pocantico Hills, New York 10591

The Employer's federal identification number (EIN) is: 13-1692606

The plans of employer and the number of participants covered in each plan is:

Plan Name: Historic Hudson Valley 457(b) Plan

Plan Effective Date: January 1, 2016

Plan Adoption Date: December 29, 2016

Number of Participants: One (1)
(specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Historic Hudson Valley

By: Lynda Jones, Vice President, Human Resources

Date: December 27, 2016

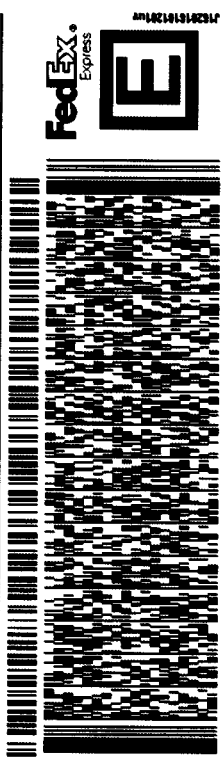
ORIGIN ID: NINKA (014) 386-6844
LYNDA JONES
HISTORIC HUDSON VALLEY
639 BEDFORD ROAD
POCANTICO HILLS, NY 10581
UNITED STATES US

SHIP DATE: 29DEC16
ACTWGT: 0.40 LB
CAD: 2310664/NET3790
BILL SENDER

TO EMPLOYEE BENEFITS SECURITY ADMINIST

200 CONSTITUTION AVE NW
N-1513
WASHINGTON DC 20210
(014) 386-6844 X 622 REF: 10-72200-11-00
INV PO. DEPT.

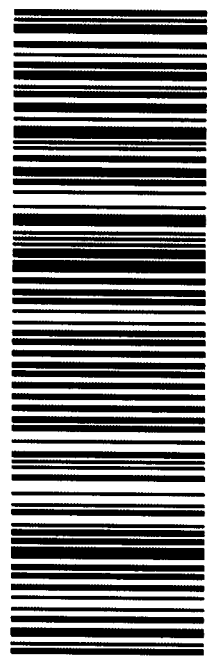
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STANDARD OVERNIGHT

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EP TSGA 20210
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