

2016 DEC 07 PM 11:25

December 8, 2016

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

2520170120062

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1515
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20210

Re: Trillium Health, Inc.
Top Hat Plan Exemption

Dear Sir or Madam:

On behalf of Trillium Health, Inc. (“Trillium Health”), I am filing this statement pursuant to the provisions of the Department of Labor Regulations at 29 C.F.R. § 2520.104-23, to notify you that Trillium Health maintains a plan primarily for the purpose of providing compensation to a select group of management or highly compensated employees.

1. Name and Address of Employer:

Trillium Health, Inc.
259 Monroe Avenue
Rochester, New York 14607

2. Employer EIN: 16-1356734

3. Trillium Health maintains the following plan for a select group of management or highly compensated employees:

Trillium Health, Inc. Supplemental Executive Retirement Plan for the
President and Chief Executive Officer

Number of Participants: 1

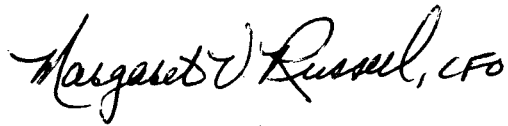


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Upon request, Trillium Health will provide a copy of the relevant plan document. Please acknowledge your receipt of this statement by time-stamping the enclosed copy of this letter and returning it to us in the envelope provided.

Please contact me if you require additional information.

Very truly yours,

A handwritten signature in black ink that reads "Margaret V Russell, CFO". The signature is written in a cursive style with a large initial "M".

Margaret Russell
Senior Vice President,
Chief Financial & Compliance Officer

DIRECT DIAL: 585-210-4138
E-MAIL: mrussell@trilliumhealth.org

Enclosure

Trillium
HEALTH
259 Monroe Avenue
Rochester, NY 14607



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PAID
ROCHESTER, NY
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DEPT. OF LABOR
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