



InterCommunity

Health Care for the Whole Person

July 6, 2016

2520162670128

CERTIFIED MAIL/

RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Re: Intercommunity, Inc. Section 457(b) Deferred Compensation Plan

Dear Sir or Madam:

In order to comply with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, and pursuant to Regulation Section 2520.104-23 of the Department of Labor, the undersigned hereby submits the following:

- (1) Name of Plan:
Intercommunity, Inc. Section 457(b) Deferred Compensation Plan (the "Plan")
- (2) Name and Address of Employer:
Intercommunity, Inc.
111 Founders Plaza – 18th Floor
East Hartford, CT 06118
- (3) Employer ID No.: 06-0954809
- (4) The Employer maintains the Plan primarily for the purpose of providing deferred compensation for members of a select group of management or highly compensated employees.
- (5) Number of such plans maintained by the Employer or members of its controlled group: One (1)
- (6) Number of employees participating in the Plan: Two (2)

EBSA/PUBLIC DISCLOSURE
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The Employer undertakes to provide to the Secretary of Labor any documents relating to the Plan upon request.

Very truly yours,
INTERCOMMUNITY, INC.

By: Kenneth J Reed
Name: Kenneth Reed
Title: Chairman of the Board of Directors



InterCommunity

Health Care for the Whole Person

June 20, 2016

**RESOLUTIONS ADOPTED
BY THE BOARD OF DIRECTORS OF
INTERCOMMUNITY, INC.**

WHEREAS, it is desirable that Intercommunity, Inc. (the “Company”) establish a nonqualified deferred compensation plan that satisfies the requirements of Section 457(b) of the Internal Revenue Code of 1986, as amended; and

WHEREAS, it is desirable that the Company designate two of the Company’s senior management employees as the sole participants in the nonqualified deferred compensation plan.

NOW, THEREFORE, BE IT

RESOLVED: That the Intercommunity, Inc. Section 457(b) Deferred Compensation Plan (the “Plan”), in the form presented to this meeting, be and hereby is approved and adopted effective as of January 1, 2016, together with such modifications as in the opinion of counsel for the Company are necessary or desirable to effectuate the intention thereof and to comply with the requirements of the Employee Retirement Income Security Act of 1974 and Section 457(b) of the Internal Revenue Code of 1986, as amended; and further

RESOLVED: That Kimberly Beauregard and Tyler Booth, two of the Company’s senior management employees, be and hereby are designated as the sole participants in the Plan; and further

RESOLVED: That the Participation Agreements between the Company and Kimberly Beauregard and Tyler Booth, in the forms presented to this meeting, be and hereby are approved and adopted effective as of January 1, 2016, together with such modifications as in the opinion of counsel for the Company are necessary or desirable to effectuate the intention thereof and to comply with the requirements of the Employee Retirement Income Security Act of 1974 and Section 457(b) of the Internal Revenue Code of 1986, as amended; and further

RESOLVED: That, for each plan year beginning on or after January 1, 2016: (a) on or about June 30 of the plan year, the Company will make a contribution on behalf of each participant equal to \$10,000; and (b) on or about December 31 of the plan year, the Company will make a contribution on behalf of each participant equal to the excess of (i) the limitation set forth in Section 4.3(a) of the Plan (which is the lesser of \$18,000 (as adjusted by the Internal Revenue Service for calendar years beginning after 2016) or the participant’s compensation) over (ii) \$10,000; and further

*Improving lives
through whole person
health services*

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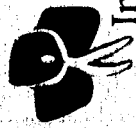
InterCommunity, Inc.
111 Founders Plaza, 18th Floor
East Hartford, CT 06108
860-569-5900 860-310-2127 Fax
www.intercommunityct.org

RESOLVED: That the Company's contributions on behalf of a participant will be credited to his or her notional Plan account as of the date on which the Company deposits the contributions into a segregated investment account in the name of the Company; and further

RESOLVED: That the amounts allocated to a participant's notional Plan account will be credited with earnings and losses based on the earnings and losses attributable to the Vanguard target date fund appropriate for the age of the participant; and further

RESOLVED: That the Company be, and hereby is, authorized to establish a segregated investment account in the name of the Company into which the Company's contributions to the Plan will be deposited, and to require two signatories to effectuate any transactions with respect to such account (where one signatory must be the chief financial officer of the Company and the other signatory must be an officer of the Company other than one of the participants in the Plan); and further

RESOLVED: That the president of the Company or any other officer designated by the president be, and each of them hereby is, authorized and empowered, for and on behalf of the Company, to execute the Plan, to execute the Participation Agreements, and to take any and all other actions which may be necessary or desirable to effectuate the intention of the foregoing resolutions.



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7016 0340 0000 2035 8698
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