



**DB STERLIN CONSULTANTS, INC.**

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**DB STERLIN CONSULTANTS, INC. PLAN STATEMENT**

D B Sterlin Consultants, Inc. Plan Exemption  
U.S. Department of Labor  
Employee Benefits Security Administration  
200 Constitution Avenue, NW, N-1515  
Washington, DC 20210

2520162670058

**Re: D B Sterlin Consultants, Inc. Non-Qualified Deferred Compensation Agreement**

Dear Secretary,

In accordance with Department of Labor Regulation § 2520.104.23, this letter will serve as the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974, for a long-term incentive plan by Employer (defined below) for a select group of management or highly compensated employees.

1. Employer Name: D B Sterlin Consultants, Inc. ("Employer"), an Illinois corporation
2. Address: 123 N Upper Wacker Dr # 2000, Chicago, IL 60606
3. Employer EIN: 36-4149498
4. Name of Plan: D B Sterlin Consultants, Inc. Non-Qualified  
Deferred Compensation Agreement
5. Plan Participants: The Plan is maintained for select  
management or highly compensated employees.
6. Number of plans: One
7. Number of employees in plan: One

D B STERLIN CONSULTANTS, INC. will provide plan documents to the Secretary upon request.

**D B STERLIN CONSULTANTS, INC.**

By: 

Date: August 16, 2016

# HUCK BOUMA<sup>PC</sup>

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August 17, 2016

**Via Certified Mail**

**No. 7015 1730 0000 0249 2171**

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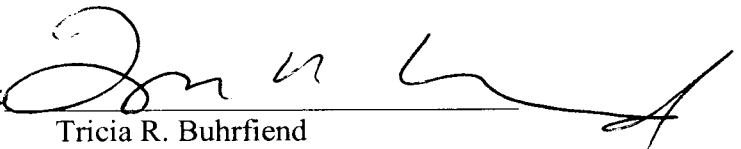
**Re: D B Sterlin Consultants, Inc. Non-Qualified Deferred Compensation Agreement  
D B Sterlin Consultants, Inc.  
FEIN: 36-4446604  
Our File No. 11183-1**

Ladies/Gentlemen:

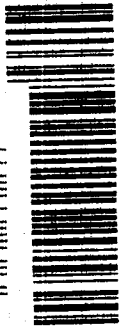
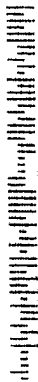
Enclosed herewith please find a "D B Sterlin Consultants, Inc. Plan Statement" for the above-captioned Plan. This D B Sterlin Consultants, Inc. Plan Statement is provided to you pursuant to Department of Labor Regulation Section 2520.104-23(b). The timely submission of this Statement serves to avoid the requirement that this Top Hat Plan file an Annual Report (Form 5500).

If you should have any questions with respect to this matter, please feel free to contact the undersigned.

Cordially,

By:   
Tricia R. Buhrfiend

TRB  
Enclosure



7015 1730 0000 0249 2171

**HUCK BOUMA™**

ALPHABETICS AT L3W

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