

**SPECTRUM ASSOCIATES, INC.**

**183 Plains Road  
Milford, CT 06461**

February 8, 2016

**2520161200049**

Administrator - Top Hat Plan Exemption  
PWBA - Room N5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

**Re: Spectrum Associates, Inc.  
Manager Retirement Plan**

Dear Program Administrator:

As the President of Spectrum Associates, Inc. (the "Company") which is the administrator of the Plan, I am filing this statement pursuant to DOL Reg. §2520. 104-23 to satisfy the one time reporting and disclosure requirement of Part 1 of Title 1 of the Employee Retirement Income Security Act of 1974, as amended. On January 1<sup>st</sup>, 2016, the Company adopted an amendment to the Manager Retirement Plan effective January 1, 2016 to provide certain key management employees with deferred compensation benefits ("Plan"). The Plan currently will cover only six of the Company's key employees. Including the Plan, the Company maintains two non-qualified employee benefit plans that cover key management or highly compensated employees. All benefits are paid solely by the Company from its general assets. The Corporation's address is 183 Plains Road, Milford, CT 06461, and the employer identification number for the Corporation is 06-0860934. If you would like a copy of the Plan or any additional information, please contact the undersigned.

Please acknowledge receipt of this statement by date stamping the duplicate copy of this statement and returning it in the enclosed prepaid envelope. Thank you.

Sincerely,



Richard C. Meisenheimer

RCM/mbh

SPECTRUM ASSOCIATES INC  
P.O. BOX 470  
MILFORD CT 06460

60 Years in Flight



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