



**TOP HAT FILING FOR THE  
M.J. HALL & COMPANY  
DEFERRED COMPENSATION AGREEMENT**

March 31, 2016

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, DC 20210

2016 APR 15 09:12:11

Pursuant to Department of Labor Reg. 2520.104-23, the following information is provided as an alternative to the normal method of reporting and disclosure that is required for pension plans by the Employee Retirement Income Security Act of 1974.

Name and address of employer: M.J. Hall & Company, Inc.  
709 N. Center Street  
Stockton, CA 95202

Employer's EIN: 94-2235150

The employer named above maintains the following plan which is an unfunded plan maintained primarily for the purpose of providing deferred compensation for a member of the employer's select group of management or highly compensated employees:

**M.J. Hall & Company Deferred Compensation Agreement**

One employee participates in the plan.

Upon request, the employer will provide a copy of the plan document to the Employee Benefits Security Administration.

Sincerely,

Patrick T. Lo  
Senior Vice President and Treasurer



**MJH** M.J. Hall & Company  
SURPLUS LINE BROKERS  
GENERAL AGENTS

709 N. Center Street □ P.O. Box 192 □ Stockton, CA 95201-0192

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