



Rockefeller Brothers Fund

Philanthropy for an Interdependent World

February 22, 2016

By Certified Mail - Return Receipt Requested

Top Hat Plan Exemption

Employee Benefits Security Administration
Room N-1513

U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

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2016 FEB 22 10 09:59

RE: The Rockefeller Brothers Fund Supplemental Executive Retirement Plan.

Dear Sir/Madam:

In accordance with Department of Labor Regulation Section 2520.104-23(b) (the "Regulation"), The Rockefeller Brothers Fund, Inc. hereby submits the information with respect to the below referenced plan.

Employer: The Rockefeller Brothers Fund, Inc.
457 Riverside Drive, Suite 900
New York, NY 10115

Employer Identification Number: 13-1760106

Declaration: The Rockefeller Brothers Fund, Inc. maintains The Rockefeller Brothers Fund Supplemental Executive Retirement Plan, primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

The number of individuals participating in the Program is currently: 1.

In accordance with the Regulation, The Rockefeller Brothers Fund, Inc. will provide copies of the plan document to the Department of Labor upon request.

If you have any questions, please contact the undersigned.

Sincerely,

Geraldine F. Watson
Vice President for Finance
and Operations



**Rockefeller
Brothers Fund**
475 Riverside Drive
New York, New York 10115

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