



**INDEPENDENCE
FINANCIAL**
Retirement. Business. Estate.

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February 8, 2016

**U.S. Department of Labor
Employee Benefits Security Administration
Top-Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210**

2016 FEB 22 PM 1:05

To the Secretary of Labor,

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. Section 2520.104-23, the following information is provided by the undersigned Managing Partner of the employer identified below.

Name and Address of Employer: Independence Financial, LLC
Bridgeview Centre
222 Ohio St
Oshkosh, WI 54902

Employer Identification Number: 71-0993578

Independence Financial, LLC (the "Company") maintains a plan primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. At this time, Company maintains one (1) such plan and the plan currently covers one (1) employees.

**Michael L. Scott
Managing Partner
Independence Financial, LLC**

- Retirement planning for the individual
- 401(k) and group benefits for the business
- Succession and estate planning for the owner

Over 80 years of quality service

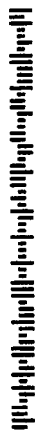
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