

January 29, 2016

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Top Hat Exemption
Pension and Welfare Benefits Administration
Room N-1513
U.S Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

2016 FEB 19 PM 12:43

To the Secretary of Labor:

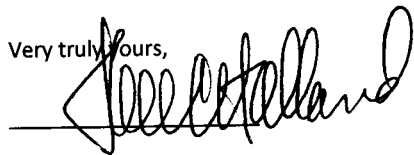
Re: Notice of Plan(s) of Deferred Compensation

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. Section 2520.104-23, the following information is provided by the undersigned employer.

1. Name and Address of Employer:
Apple River State Bank
101 Exchange St
Galena, IL 61036
2. Federal Employer Identification No. (EIN):
No. 36-2099326
3. The Employer has adopted a plan of deferred compensation or welfare benefit primarily for the purpose of providing deferred compensation to a select group of management of highly compensated employees. The plan was made effective January 1, 2016.
4. There are seven (7) participants in the plan.

Kindly acknowledge receipt of this filing by signing and returning to us, in the enclosed self-addressed, postage paid envelope, a copy of this letter for acknowledgement purposes.

Very truly yours,



Joel Holland, CEO
Apple River State Bank

Acknowledgement is hereby made of the receipt of this letter:

Signature/Title

Date

Paul J. McNellis
55 Shuman Blvd.
Suite 600
Naperville, IL 60563



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