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UC HEALTH, LLC

ALTERNATIVE REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans for select groups of management or highly compensated employees, specified in Department of Labor Regulations 29 C.F.R. Section 2520.104-23, the following information is provided on behalf of the following employer:

Name and Address of Employer: UC Health, LLC
3200 Burnet Avenue
Cincinnati, OH 45229

Employer Identification
Number: 31-1435820

UC Health, LLC maintains the UC Health Retirement Benefits Restoration Plan for the purpose of providing deferred compensation to select management or highly compensated employees. Six (6) employees are eligible to participate in the Plan as of the date of this filing. Copies of the plan document are available upon request.

Dated: January 13, 2016

GRAYDON HEAD

LEGAL COUNSEL • SINCE 1871

Robert R. Saelinger
Partner
Direct: 513-629-2892
rsaelinger@graydon.com

January 13, 2016

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

USDA/PUBLIC USE/DO
2016 JAN 29 AM 11:15

Re: UC Health Retirement Benefits Restoration Plan

Dear Sir or Madam:

On behalf of UC Health, LLC, enclosed is an Alternative Reporting and Disclosure Statement under Regulation §2520.104-23 filed this day with respect to the above-referenced plan established for a select group of management or highly compensated employees of UC Health, LLC.

Sincerely,

GRAYDON HEAD & RITCHEY LLP



Robert R. Saelinger

RRS:dmh

Enclosure

c: Clarence Pauley
Lisa Stein
Charity Fannin

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FIRST CLASS MAIL

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