

# ALSTON & BIRD LLP

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JAN 12 2016

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January 4, 2016

**CERTIFIED MAIL  
RETURN RECEIPT REQUESTED**

**2520160350003**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
U.S. Department of Labor  
Room N-1513  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Re: Statement Regarding Alternative Method of Compliance with Reporting and Disclosure Requirements of Part I of Title 1 of ERISA

Dear Sir or Madam:

Pursuant to C.F.R. § 2520.104-23, the following information is provided regarding a nonqualified deferred compensation plan established by Samford University (the "Employer"):

- Name and address of the Employer:  
  
Samford University  
800 Lakeshore Drive  
Birmingham, AL 35229
- Employer Identification Number: 63-0312914
- The Employer has established the following nonqualified deferred compensation plans on behalf of eligible employees:

Plan	Effective Date	Eligible Employees
Samford University 457(f) Deferred Compensation Plan	11/1/2015	1
Samford University 457(b) Deferred Compensation Plan	1/1/2016	7

4. The Employer established the plans described above primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. The Employer also maintains the following nonqualified deferred compensation plan, which was also established primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees:

Plan	Eligible Employees
Samford University Deferred Compensation Agreement for Pat Sullivan	1

At your request, we will provide you with a copy of the plans or any other documents you may require.

If you have any questions or if anything further is required, please let me know.

Very truly yours,

  
CRAIG R. PETT

/bb

Enclosure

cc: Ms. Lisa Imbraglio  
Mr. Fred Samford

