

Helen Frankenthaler Foundation

November 18, 2015

2520160080069

Top Hat Plan Exemption
Employee Benefits Security Administration, Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

To Whom It May Concern:

The undersigned declares that the employer described below maintains the following plan(s) primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees

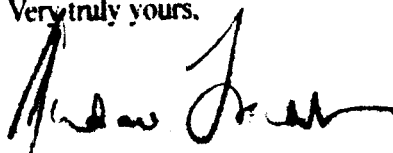
In compliance with Labor Reg. §2520.104-23 the undersigned provides the following information with respect to the plan(s):

Employer:

Employer Name: Helen Frankenthaler Foundation
Address: 134 West 26th Street, 5th Floor
New York, NY 10001
EIN#: 13-3244308

Name of Plan: Helen Frankenthaler Foundation Section 457(b) Plan
Number of Plan(s): 1
Number of Employees in Plan(s): 1

Very truly yours,



Plan Administrator

134 West 26th Street Fifth Floor
New York, New York 10001
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www.frankenthalerfoundation.org

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