

December 1, 2015

2520160080042

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Notice of Plan(s) of Deferred Compensation

Gentlemen:

Pursuant to DOL Reg. Sec. 2520.104-23, the undersigned Employer hereby files the following information with respect to its plan(s) of deferred compensation.

1. Name and Address of Employer:

The Financial Innovation Center, Inc. dba Center for Financial Services Innovation
20 N Clark St, Suite 1950
Chicago, IL 60602

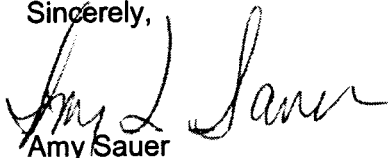
2. Federal Employer Identification Number (EIN)

20-3006098

3. The Employer maintains one plan of deferred compensation primarily for the purpose of providing deferred compensation of a select group of management or highly-compensated employees.

4. 1 employee is currently eligible to be covered by such plan.

Sincerely,



Amy Sauer
Chief Operations Officer
The Financial Innovation Center, Inc.
dba Center for Financial Services Innovation



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