

California Lutheran UNIVERSITY

November 6, 2015

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

2520153420036

Re: Top Hat Plan Filing

Dear Sir or Madam:

On October 8, 2015, a 2016 Deferred Compensation Agreement was entered into by California Lutheran University and Dr. Christopher W. Kimball ("Agreement"). Set forth below is a top hat plan filing for this Agreement in accordance with the procedures described in 29 C.F.R. § 2520.104-23:

1. Name and Address of Employer--
California Lutheran University
60 West Olsen Road
Thousand Oaks, California 91360-2787
2. Employer Identification Number--
95-2962604
3. Employer Statement—California Lutheran University maintains the Agreement primarily for the purpose of providing deferred compensation for a management or highly compensated employee.
4. Number of Top Hat Pension Plans, and the Number of Employees in Each--The Agreement covers one employee. California Lutheran University presently has one other top hat pension plan(s) that covers one employee.

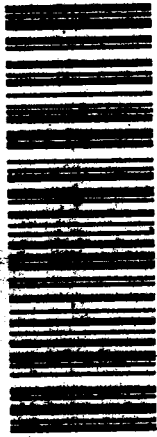
If you need any additional information, please contact me at the address below.

Sincerely,

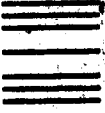


Karen Davis
Vice President of Administration and Finance

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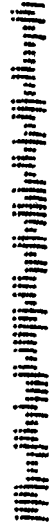
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Vice President of
Administration and Finance
60 West Olsen Road #1210
Thousand Oaks, CA 91360

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