

2520153070118

**CHILD AND ADULT ORTHODONTICS, P.C.  
248 East Main Street  
Clinton, CT 06413**

October 15, 2015

**CERTIFIED MAIL**

Secretary of Labor  
Top-Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: THE CHILD AND ADULT ORTHODONTICS, P.C.  
Non-Qualified Deferred Compensation Plan

Dear Secretary:

Pursuant to Section 2520.104-23 of the Department of Labor's Regulations, this letter will serve as notice that, with respect to the CHILD AND ADULT ORTHODONTICS, P.C. Non-Qualified Deferred Compensation Plan, (the "Plan") the undersigned intends to use the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 (ERISA), which alternative form of compliance is provided in the aforesaid Regulations Section.


Pursuant to Regulations Section 2520.104-23(b), the following information is provided:

1. Name and Address of Employer: CHILD AND ADULT ORTHODONTICS, P.C., 248 East Main Street, Clinton, CT 06413;
2. Employer's Employer Identification Number: 06-0983222
3. The Employer hereby declares that it maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
4. The Employer hereby states that it maintains only one (1) plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. The number of employees in the Plan is one (1).

Pursuant to Regulations Section 2520. 104-23(b)(2), the Employer will provide plan documents, if any, to the Secretary of Labor upon request as required by Section 104(a)(1) of ERISA.

Very truly yours,

CHILD AND ADULT ORTHODONTICS, P.C.

By  Jeffrey E. Burzin DDS  
Vice-President / Secretary

C348-02.DOL Notice



Child And Adult ORTHODONTICS

Kenneth S. Carlough D.M.D., M.D.S.  
Jeffrey E. Burzin D.D.S.  
Laura J. Gagnon D.M.D.  
Robert L. Gelman D.D.S., M.S.

248 East Main Street • Clinton, CT 06413

**RETURN RECEIPT  
REQUESTED**

**CERTIFIED MAIL**



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Secretary of Labor

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