

November 17, 2014

2520153070108

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, DC 20210

Re: AASCU 457(f) Plan

Dear Secretary:

Pursuant to Section 2520.104-23 of the Department of Labor Regulation, this letter will serve as notice that, with respect to AASCU 457(f) (the "Plan"), the undersigned intends to utilize the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 ("ERISA").

The following information is provided in accordance with paragraph (b) of Section 2520.104-23(b):

1. Name and Address of Employer: The American Association of State Colleges and Universities, 1307 New York Avenue, NW, Washington, DC 20005
2. Employer's Employer Identification Number: 520747578
3. The American Association of State Colleges and Universities hereby declares that it maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, and that there is only one employee in such plan at this time.

The American Association of State Colleges and Universities will provide Plan documents to the Secretary of Labor upon request as required by Section 104(a)(6) of ERISA.

Sincerely,

*Cathy Jones, VP for Admin & Finance 11/17/14*  
Officer Name, Title

**AASCU** American Association  
of State Colleges and  
Universities

1307 New York Avenue, NW - Fifth Floor  
Washington, D.C. 20005-4701

**CERTIFIED MAIL**



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Employee Benefits Security Administration  
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Washington, D.C. 20210

