

GRAYDON HEAD

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July 23, 2015

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

2015 JUL 31 PM 2:59
ESSA/PS/EL/1000/101

RE: Filing of Nonqualified Plan for Select Management Employee

Dear Sir or Madam:

On behalf of UT Battelle, LLC, enclosed is an Alternative Reporting and Disclosure Statement under Regulation §2520.104-23 filed this day with respect to the above-referenced plan established for a select group of management or highly compensated employees of UT Battelle, LLC.

Very truly yours,



Thomas A. Breitenbach

TAB:dmh
Enclosure

Cincinnati at Fountain Square Northern Kentucky at the Chamber Center Butler/Warren at University Pointe

Graydon Head & Ritchey LLP | 1900 Fifth Third Center | 511 Walnut Street | Cincinnati, OH 45202
513.621.6464 Phone | 513.651.3836 Fax | www.graydonhead.com

UT BATTELLE, LLC

**ALTERNATIVE REPORTING AND DISCLOSURE STATEMENT
FOR PENSION PLAN FOR CERTAIN SELECTED EMPLOYEES**

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974, as amended, for unfunded or insured pension plans for select groups of management or highly compensated employees, specified in Department of Labor Regulations 29 C.F.R. Section 2520.104-23, the following information is provided on behalf of the following employer:

Name and Address of Employer: UT Battelle, LLC
 P.O. Box 2008
 Oak Ridge, TN 37831

Employer Identification
Number: 62-1788235

UT Battelle, LLC maintains two agreements primarily for the purpose of providing deferred compensation to select management or highly compensated employees. One (1) employee is eligible to participate in each of these agreements as of the date of this filing. Copies of the documents are available upon request.

Dated: July 23, 2015

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07/23/2015
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