

**Harter Secrest & Emery LLP**

ATTORNEYS AND COUNSELORS

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July 9, 2015

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CERTIFIED MAIL, RETURN RECEIPT REQUESTED

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Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20210

Re: Sivantos, Inc.
Top Hat Plan Exemption

Dear Sir or Madam:

On behalf of our client, we are filing this statement pursuant to the provisions of the Department of Labor Regulations at 29 C.F.R. § 2520.104-23, to notify you that Sivantos, Inc. ("Sivantos") maintains two plans primarily for the purpose of providing compensation to a select group of management or highly compensated employees.

1. Name and Address of Employer:

Sivantos, Inc.
10 Constitution Avenue
Piscataway, NJ 08855

2. Employer EIN: 22-2102429

3. Sivantos maintains the following plans for a select group of management or highly compensated employees:

a. Sivantos, Inc. Pension Preservation Plan

Number of Participants: approximately 17

b. Sivantos, Inc. Deferred Compensation Plan

Number of Participants: approximately 23

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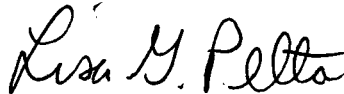
U.S. Department of Labor
July 9, 2015
Page 2

Upon request, Sivantos will provide a copy of the relevant plan document(s). Please acknowledge your receipt of this statement by time-stamping the enclosed copy of this letter and returning it to us in the envelope provided.

Please contact me if you require additional information.

Very truly yours,

Harter Secrest & Emery LLP



Lisa G. Pelta
Counsel

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E-MAIL: LPELTA@HSELAW.COM

LGP:ple
Enclosure



Harter Secrest & Emery LLP

ATTORNEYS AND COUNSELORS

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LISA G. FELTA

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