

# TROUTMAN SANDERS LLP

A T T O R N E Y S A T L A W  
A LIMITED LIABILITY PARTNERSHIP

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June 26, 2015

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW, N-1513  
Washington, DC 20210

**Subject: Top Hat Exemption Letter Filing**


Dear Sir or Madam:

Pursuant to Department of Labor Regulation Section 2520.104-23(b), please be advised that Carilion Clinic, Inc (the "Employer") maintains the following two plans (the "Plans") established primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees: (1) Carilion Clinic Pension Restoration Plan("Restoration Plan"), which was effective February 21, 1995 and previously reported and (2) Carilion Clinic Defined Contribution Supplemental Executive Retirement Plan ("SERP"), which was first effective on July 1, 2014. The pertinent information with respect to each Plan is as follows:

Employer:	Carilion Clinic, Inc.
Address of Employer	213 S Jefferson St., Roanoke, VA 24011
EIN:	54-1190771
Number of Top Hat Plans:	2
Number of Participants in Each Plan:	
• Restoration Plan:	2
• SERP:	18

Please feel free to contact me with any questions at (804) 697-1342.

Very truly yours,

  
Evelyn Traub

cc: Ronald X. Butler, Esq.  
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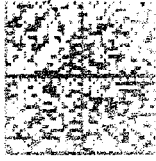
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