



**SANFORD**  
SCHOOL

SANFORDSCHOOL.ORG 6900 LANCASTER PIKE HOCKESSIN, DELAWARE 19707 302.239.5263  
457 Plans

## TOP-HAT PLAN EXEMPTION STATEMENT<sup>1</sup>

Top-Hat Plan Exemption  
PWBA  
Room N-5644  
U.S. Department of Labor  
200 Constitution Ave., NW  
Washington, D.C. 20210

SANFORDSCHOOL.ORG  
2015 JUN 30 PM 12:56

Employer Name: Sanford School

Address: 6900 Lancaster Pike, Hockessin, DE, 19707

Employer EIN: 51-0064331

Name of Plan:<sup>2</sup> Sanford School 457(b) Plan

The Plan is maintained for a select group of management or highly compensated employees.

Number of Plans: 1

Number of Employees in Plan(s): 1

<sup>1</sup> A tax-exempt organization must maintain a 457 plan as a "top-hat plan" within the meaning of ERISA to avoid application of certain ERISA provisions that are inconsistent with the requirements of Code §457. The employer must file this statement to exempt the top-hat plan from ERISA Title I reporting and disclosure requirements. The employer must submit this statement to the DOL no later than 120 days after the plan becomes subject to Part 1 of Title 1 of ERISA. DOL Reg. §2520.104-23(b). A plan generally becomes subject to Part 1 of the Title 1 of ERISA on the later of the date of adoption or the effective date of the plan. See DOL Reg. §2520.104b-2(a)(3). A governmental 457 plan is not subject to ERISA and need not file this statement.

<sup>2</sup> See DOL Reg. §2520.104.23. Although the regulations do not require the name of the plan, the employer could include the plan name.



**SANFORD**  
S C H O O L

6900 LANCASTER PIKE / HOCKESSIN, DELAWARE 19707

Student

0622222222

800-467-1234

XXXXXXXXXX

XXXXXXXXXX

Top-Hat Plan Exemption  
PWBA  
Room N-5644  
U.S. Department of Labor  
200 Constitution Ave., NM  
Washington, D.C. 20210

*[Faint, illegible handwritten text]*