

TOP-HAT PLAN EXEMPTION STATEMENT

Top Hat Plan Exemption
EBSA / Room N-1513
200 Constitution Avenue NW
Washington, DC 20210

Employer Name: SoundWaters, Inc.

Address: 1281 Cove Road, Stamford, CT 06902

Employer EIN: 06-1263947

Name of Plan: SoundWaters 457(b) and 457(f) Plans

The Plan is maintained for a select group of management or highly compensated employees.

Number of Plans: 2 (two)

Number of Employees in Plan(s): 1 (one)

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EBSA/Room N-1513



PASI, LLC

231 Farmington Avenue, Farmington, CT 06032

REGISTERED MAIL™



7010 1060 0000 2809 7483

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