



**New York Philharmonic**  
Avery Fisher Hall  
10 Lincoln Center Plaza  
New York, NY 10023

212 875 5730  
212 875 5716 fax  
nyphil.org

**Catherine Williams**  
Director, Human Resources

April 16, 2015

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, D.C, 20210

**Alan Gilbert**  
Music Director

**Oscar S. Schafer**  
Chairman

**Matthew VanBesien**  
President

RE: The New York Philharmonic 457(b) Deferred Compensation Plan

Dear DOL Agent:

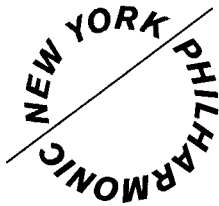
Attached please find the Top Hat Exemption statement for the above-referenced 457(b) Plan.

Sincerely,

Catherine Williams  
Director, Human Resources

Enclosure

2015 MAY 14 PM 1:37



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**TOP HAT EXEMPTION**

This statement is provided pursuant to the alternate method of compliance with the reporting and disclosure requirements of Part 1 of Title I of ERISA, as set forth in 29 CFR 2520.104-23.

**Alan Gilbert**  
Music Director

Name of Tax-Exempt Employer: The Philharmonic-Symphony Society of New York, Inc.

**Oscar S. Schafer**  
Chairman

**Matthew VanBesien**  
President

Address of Tax-Exempt Employer: 10 Lincoln Center Plaza  
New York, New York 10023

E.I.N.: 13-1664054

**TOP-HAT STATEMENT BY PLAN ADMINISTRATOR**

The Philharmonic-Symphony Society of New York, Inc. (the "Employer"), hereby declares that the purpose of the New York Philharmonic 457(b) Deferred Compensation Plan (the "Plan") is to provide deferred compensation primarily for a select group of management and highly compensated employees. Only three (3) employees are currently eligible to participate in the Plan. The Employer maintains no other unfunded top-hat plan described in Department of Labor Regulation Section 2520.104-23(b).

Date: 4/16/15  
By: Catherine D Williams  
Title: Director Human Resources  
(On Behalf of the Plan Administrator)

